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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Emerick, et al. : Date: January 26, 2005
Group Art Unit: 3627 : IBM Corporation
Examiner: Laneau : Intellectual Property Law
Serial No.: 09/865,371 : Dept. 917, Bldg. 006-1
Filed: May 25, 2001 : 3605 Highway 52 North
Title: METHOD AND APPARATUS : Rochester, MN 55901
UPGRADE ASSISTANCE USING
CRITICAL HISTORICAL PRODUCT
INFORMATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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02/02/2005 HMARZ11 00000032 090455 09865371

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Serial No. 09/865,371
Docket No. ROC920010109US1

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This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

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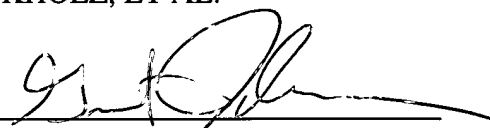


Lisa M. Plank

Respectfully submitted,

BIRKHOLZ, ET AL.

By



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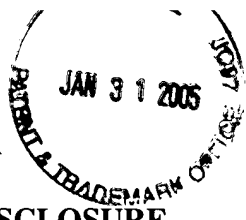
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/865,371
 Filing Date: May 25, 2001
 First Named Inventor: Emerick, et al.
 Art Unit: 3627
 Examiner Name: Laneau
 Attorney Docket Number.: ROC920010109US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
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